

Monarch Plan Advisors

PRIVACY POLICY

At Monarch Plan Advisors, we respect your right to privacy and seek to maintain the confidentiality, integrity, and security of personal information entrusted to us by current and prospective customers. As a sign of our commitment, we distribute this Privacy Policy to each of our customers at the time an account is opened and annually thereafter, and we hope you will take a moment to review it.

In general terms, we collect and use personal information in order to conduct a financial services business with our customers and provide customers with a broad range of products and services. Our customers need to share financial information with us and may provide other personal information. We endeavor to hold this personal information in confidence.

Collection and Use of Personal Information

In the course of conducting our financial services business, we collect and use various types of personal information from various sources, depending on the scope of our business relationship. Such sources may include personal information we receive from you during personal interviews, personal information we receive from you on applications or other forms, personal information collected by us when you use our products or services, information about your transactions with us or others, and personal information we receive from non-affiliated third parties, including consumer reporting agencies. The types of personal information we collect depend on the service you have with us, this may include:

- account and contact information, such as name, phone number, postal address, email address, age, and date of birth;
- financial information;
- retirement plan sponsor information;
- professional or employment-related information, such as job title and business contact information; and
- commercial information, including products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.

We use the personal information we gather for internal purposes only, or as permitted by law, such as:

- to process your transactions;
- to maintain your account;
- to provide you information about our services;
- for reporting and analytical purposes; and
- to maintain our records.

What Personal Information We Disclose and To Whom

We do not disclose any personal information about you to either affiliated or non-affiliated third parties without your express consent, or as permitted by law for purposes of servicing your financial needs. We may disclose the personal information we collect, as described above, to non-affiliated parties that perform services on our behalf and to other financial or insurance institutions, in connection with processing your insurance and financial transactions. We may also disclose your personal information in circumstances where we believe that disclosure is required or permitted under law, such as to satisfy any legal or regulatory obligations we have. For example, we must give personal information in response to a valid demand, search warrant, or other legally valid inquiry or order. If sharing this personal information, we insist that any party with whom we share such information agrees to maintain the confidentiality of this information in accordance with applicable law.

How to Review and Request Changes to Your Information

We want to ensure the information we collect from you is accurate. You may contact us at the phone number listed below to request the information we hold or the correction of any errors in your information.

Online Tracking Technologies

We and our marketing partners may use various online tracking technologies to gather information about you when you interact with our website or email communications. These online tracking technologies may include technologies such as cookies (a piece of information placed on your browser when you access our website), web or hardware beacons (small pieces of data embedded in images and webpages), pixel tags, log files, local shared objects, and similar technologies (collectively, “online tracking technologies”). When you visit our website, the online tracking technologies may collect identifiers (e.g., your internet protocol address or other online or device identifier) and internet or other electronic network activity information (e.g., a record of your usage or engagement with other content, and the time of your usage). We use the information gathered via these online tracking technologies to maintain and optimize our website (e.g., improving security, fixing bugs, preventing website crashes) and to understand traffic and usage patterns. Among other cookies, we use Google Analytics. You can learn more about how those cookies work by visiting “How Google uses information from sites or apps that use our services,” located at <https://policies.google.com/technologies/partner-sites>. You may install a Google Analytics Opt-out Browser Add-on by visiting <https://tools.google.com/dlpage/gaoptout>. You can learn more about cookies, see what cookies have been set on your computing device, and learn how to manage and delete them by visiting <http://allaboutcookies.org>. While we recommend that you leave cookies turned on, you can configure your browser settings to (i) receive notifications when you are receiving new cookies, (ii) disable cookies, or (iii) delete cookies. Please refer to your browser's help section for information on how to do this. We do not respond to Do Not Track signals.

Security Procedures

We will seek to protect your personal information with appropriate safeguards and security measures. We restrict access to your personal information to personnel who need that information to provide you with our products or services. We train our employees to familiarize them with our Privacy Policy and the procedures necessary to safeguard your personal information. We maintain physical, electronic, and procedural safeguards to protect your personal information. We strive to maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk, and we back-up our systems as appropriate. We audit our procedures and security measures as necessary to ensure that they are being properly administered and that they remain effective and appropriate.

Policy Updates

From time to time, we may update this Privacy Policy (“Policy”) and post it here. We encourage you to check back periodically and review this Policy, so you are always aware of our current practices. Changes to this Policy are effective when posted on this page.

Contact Us

Your concerns matter to us. If you have any questions or concerns related to our Privacy Policy and procedures, please feel free to contact us at 818.444.0376.